



## We respond to our clients' needs over time, in depth and in painstaking detail

Our biggest mission is to increase the precious assets entrusted to us by our clients over the medium to long-term. Our relationships with clients are built over decades, faithfully managing their assets. We believe that our AUM of approx. 84.8 trillion yen and high market share in defined contribution (DC) pensions are the result of the trust from our clients.

Approx. 84.8 trillion JPY

Investment management & advisory Investment trust fund

Approx. 69.3 trillion JPY

Approx. 15.5 trillion JPY

As of the end of June 2021 Source: "Newsletter on Pensions & Investment(
2021) issued by Rating and Investment Information

Approx. 184 trillion JPY

DC Dedicated Investment
Trust market share

DC Dedicated Investment Trust

## A group of professionals with diverse expertise and track records

Our human resources, including the fund managers and the analysts, are seasoned professionals with diverse expertise. The team responds with optimal proposals to address client issues.



39 CMA\* 23

As of the end of June 2021 \*Chartered Member of the Securities Analysts Association of Japan

Officials and Employees 648 (As of the end of April 2021)

## As a responsible institutional investor

As a supporter of Japan's Stewardship Code, and as a signatory to the PRI, we engage with companies in which we invest on ESG (environment, social and governance) issues through engagement and exercise of voting rights. By encouraging the resolution of these issues, we aim to contribute to the sustainable growth of companies and society as a whole. Each of us is personally committed to actively addressing ESG issues.

■ We have signed international corporate conduct guidelines and principles, and in addition to activities to put them into practice, we are involved in engagement through cooperation with the UN, overseas companies, NGOs, etc.

Signatory of:

Principles for Responsible Investment

Signed Principles for Responsible Investment

Climate Action 100+

Participant in Climate Action 100+

Ceres
Participant in Ceres



Signatory to the Thirty Percent Coalition (USA)



Participated in 30% Club Japan as an investor group member aiming to play an active role in promoting diversity initiatives in Japan.

Participated in the Tokyo Waterworks—Corporate Forest (Naming Rights) project, working with the Tokyo Metropolitan Government's Bureau of Waterworks toward conservation of water and forestry resources



Stewardship Efforts [Annual activities]

Number of engaged companies:

Domestic Approx. 430 Approx. 6,600 Approx. 850

Number of engaged companies: Number of engagements:

Overseas Approx. 290

Source: Figures for Sumitomo Mitsui Trust Bank for the period from July 2020 through June 2021.

We received the Tokyo Financial Award 2018 in the ESG Investment Category for our engagement activities related to marine pollution and plastic waste problems, etc.

\*Total number of contacts includes meetings, briefings, factory visits, teleconferences, phone calls, etc.



## Sumitomo Mitsui Trust Asset Management Co., Ltd.